Prevention of Ventilator-Associated Pneumonia

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Institute for Healthcare Improvement

- 100,000 Lives Campaign
- Initiative to reduce healthcare errors and infections
- Addresses specific healthcare aquired infections
 - Ventilator-associated pneumonia (VAP)
 - "VAP bundle"
 - Raising head of the bed
 - WeaningPeptic ulcer prophylaxis

http://ihi.org/IHI/Programs/Campaign/Campaign.htm

2. Regulatory Issues

- Joint Commission on Accreditation of Healthcare Organizations (JCAHO)
 - 2004 Standard: IC.1.10 "The organization uses a coordinated process to reduce the risks of nosocomial infections in patients and health care workers ..."

www.jcaho.com/accredited+organizations/svnp/svnp_index.htm





Let's Talk Prevention of Ventilator-Associated Pneumonia High Risk, High Morbidity



VAP Facts

- Mechanical ventilation increases risk of pneumonia 6-21 times (1% per day)
- Attributable mortality is 27% and increases to 43% when etiologic agent is *P.aeruginosa* or *Acinetobacter* sp.
- LOS with VAP is 34 days and 21 days without VAP

	12 Steps to Prevent Antimic		lized Adults	
22	Step 11: Isolate the path	ogen		
	Hospital-Ons Intensive	et Infection Care Units,		

Type of ICU	BSI*	VAP*	UTI*
Coronary	43%	42%	40%
Medical	44%	56%	46%
Surgical	31%	38%	30%
Pediatric	32%	26%	59%
* BSI = central line-asso	ociated bloodstre	eam infection r	ate

VAP = ventilator-associated pneumonia rate UTI = catheter-associated urinary tract infection rate

Source: National Nosocomial Infections Surveillance (NNIS) Sy

12 Steps to Prevent Antimicrobial Resistance: Hospitaliz	ed Adults	
Prevalence of Antimicrobiał	Resista	ant (R)
Pathogens Causing Hospital	Oset I	ntensive
Care Unit Infectio	ns:	
1999 versus 1994-9	98	
Organism # I	solates	% Increase*
Fluoroquinolone-R Pseudomonas spp.	2657	49%
3 rd generation cephalosporin-R E. coli	1551	48%
Methicillin-R Staphylococcus aureus	2546	5 40%
Vancomycin-R enterococci	4744	40%
Imipenem-R Pseudomonas spp.	1839	20%
* Percent increase in proportion of pathogens resis	tant to indic	cated antimicrobial
Source: National Nosocomial Infections Surveillance (NNI	S) System	



Cost of VAP

Study/Year	#Pts with VAP	Measure	ICU Type	Cost with VAP	Cost without VAP	Cost per VAP case
Warren 2003	127	Attributable cost (hospital)	Med, surg	\$27,033	\$15,136	\$11,897
Rello 2002	842	Charges	Med, surg, trauma	\$104,983	\$63,689	\$41,294
Cocanour 2005	70	Attributable cost	Trauma	\$82,195	\$25,037	\$57,158

Warren DK, et al. Outcome and attributable cost of ventilator-associated pneumonia among intensive care unit patients in a suburban medical center. Crit Care Med 2003;31:312-3. Rello J, Ollendorf DA, Oster G, Vera-Llonch M, Bellm L, Redman R, Kollef MH. Epidemiology and outcomes of VAP in a large US database. Chest 2002;12:211-2121.

OS database. Crest 2002;122:2113-2121. Cocanour et al. Cost of ventilator-associated pneumonia in a shock trauma intensive care unit. Surg Inf, 2005;6:65-72.

Cost of VAP (cont'd)

- Study of 819 adult ICU pts. to determine attributable cost of VAP
- Comparison of uninfected vent pts. to vent pts. with VAP
 - ICU LOS: 26 vs. 4 days
 - Hospital LOS: 38 vs. 13 days
 - Mortality: 50% vs. 34%
 - Costs: \$70,568 vs. 21,620
- Attributable cost of VAP: \$11,897

Warren DK, et al. Outcome and attributable cost of ventilator-associated pneumonia among intensive care unit patients in a suburban medical center. Crit Care Med 2003;31:1312-3.

What strategies have been advocated in preventing VAP?

- Ventilator circuit replacement
- Closed suction catheter replacement
- Heat and moisture exchanger replacement
- Semirecumbent positioning of patients
- Selective digestive decontamination
- Stress ulcer prophylaxis
- Enteral feeding methodologies
- Weaning
- Oral and dental care





Outbreaks & Contaminated Environment

- Pimentel, et al. Control of an outbreak of multidrug-resistant Acinetobacter baumannii in an intensive care unit and a surgical ward. J Hosp Infect 2005;59:249-53
- Denton, et al. Role of environmental cleaning in controlling an outbreak of Acinetobacter baumannii on a neurosurgical intensive care unit. Inten Crit Care Nurs 2005;21:94-8.







Ventilator Circuits

- Humidifier vs. HME technology
- HICPAC:
 - Do not change routinely, on the basis of duration of use, the ventilator circuit (i.e., ventilator tubing and exhalation valve, and the attached humidifier) that is in use on an individual patient. Change the circuit when it is visibly soiled or mechanically malfunctioning. Cat IA [same as for HME - Cat II].
- Kollef MH, Shapiro SD, Fraser VJ, et al. Mechanical ventilation with and without 7-day circuit changes: a randomized controlled trial. *Ann Intern Med* 1995; 123;168-74.



Heat & Moisture Exchangers (HME)

- Is filter hydroscopic or hydrophobic?
- HICPAC:
 - No recommendation can be made for the preferential use of either HMEs or heated humidifiers to prevent pneumonia in patients receiving mechanically assisted ventilation.. (Unresolved Issue).
 - Change an HME that is in use on a patient when it malfunctions mechanically or becomes visibly soiled. Cat II
 - Do not routinely change more frequently than every 48hours an HME that is in use on a patient. Cat II
 Do not change routinely (in the absence of gross)
 - Do not change routinely (in the absence of gross contamination or malfunction) the breathing circuit attached to an HME while it is in use on a patient. Cat. II
- Davis K, Evans SL, Campbell RS, Johannigman JA, Luchette FA, Porembka DT. Prolonged use of heat and moisture exchangers does not affect device efficiency or frequency rate of nosocomial pneumonia. *Crit Care Med* 2000;28:1412-18.

Circuits & HMEs: VAP Outcome

- Study to determine if vent circuits are needed to be changed periodically when an HME is used
- Randomized, controlled trial in 24 bed adult ICU
- Results:
 - Group 1 (143 pts.;vent circuit changes every 48 hrs.): 1.71/1000 VD
 - Group 2 (161 pts.; no circuit changes): 1.25/1000 VD [no significant difference)

Lorente L, et al. Periodically changing ventilator circuits is not necessary to prevent ventilator-associated pneumonia when a heat and moisture exchanger is used. Infect Control Hosp Epidemiolo 2004;25:1077-82.



Closed Suction Catheters

- Manufacturers: replace at 24 hours
- HICPAC:
- No recommendation can be made about the frequency of routinely changing the in-line suction catheter of a closed-suction system in use on one patient. (Unresolved issue)
- Kollef MH, Prentice D, Shapiro SD, Fraser VJ, Silver P, Trovillion E, et al. Mechanical ventilation with or without daily changes of in-line suction catheters. Am J Resp Crit Care Med, 1997;156:466-72

Closed vs. Open Suctioning

- Randomized, controlled study aimed at measuring VAP outcome in pts. using either closed or open suctioning
- 24 bed med sarg ICU; 443 pts.
- No differences in percentage of patients developing VAP (20.47% vs. 18.02%) or VAP per 1000 vent days (17.59 vs. 15.84)

Lorente L, et al. Ventilator-associated pneumonia using a closed versus open tracheal suction system. Crit Care Med 2005;33:115-9.

Closed Suction Catheter Replacement

- Study designed to measure impact of decreasing the frequency of in-line suction catheters changes
- MICU; Before/after observation trial
- Results:
 - VAP rate, daily change: 0.19/100 VD
 - VAP rate, 7 dy change: 0/100 VD
- Annual cost savings: \$18,782

Stoller JK, e al. Weekly versus daily changes of in-line suction catheters: impact on rates of ventilator-associated pneumonia and associate costs. Respir Care 2003;48:494-9.

New Intervention: Redefining the Ventilator Circuit

- Ventilator circuitry was defined by three separate devices: tubing, HME, in-line suction catheter
- Revised policy to consider circuitry as single closed system; change when soiled, malfunction, patient transport
- Rates: 28.7/1000 VD in 2000; 9.8 in 2001
- Saved >\$15,000 per year
 - Bertrand M, Zink K, McCormick J, et al. Reducing ventilator associated pneumonia by redefining the ventilator circuit as a single closed unit and eliminating routine component changes. [abstract] 2002 APIC Education Conference, Nashville, TN

Airway Suctioning

- Subglottic suctioning
 - Yankauers don't reach
 - Routinely done every 2 hours
 - Before repositioning ETT
 - Special ETT tubes???



Subglottic Secretion Suctioning

HICPAC:

- If feasible, use an endotracheal tube with a dorsal lumen above the endotracheal cuff to allow drainage (by continuous or frequent intermittent suctioning) of tracheal secretions that accumulate in the patient's subglottic area. Cat. II
- Valles J, Artigas A, Rello J, et al. Continuous aspiration of subglottic secretions in preventing ventilator associated pneumonia. Ann Intern Med 1995;122:179-86.
- Kollef MH, Skubas NJ, Sundt TM. A randomized clinical trial of continuous subglottic suctioning in cardiac surgery patients. *Chest* 1999; 116:1339-46.

Effect of Subglottic Suctioning

- Meta-analysis of five studies, 896 pts.
- In pts expected to require >72 hrs. of ventilation, subglottic secretion suctioning shortened:
 - duration of ventilation by 2 days
 - Length of stay by 3 days
 - Delayed onset of pneumonia by 6.8 days

Dezfulian C, et al. Subglottic secretion drainage for preventing ventilatorassociated pneumonia: a meta-analysis. Am J Med 2005;118:11-18.

Semirecumbent Positioning

- HICPAC:
 - > In the absence of medical contraindication(s), elevate at an angle of 30-45° the head of the bed of a patient at high risk for aspiration (e.g., a person receiving mechanically assisted ventilation and/or who has an enteral tube in place) Cat II
- Drakulovic MB, Torres A, Bauer TT, Nicholas JM, Nogue S, Ferrer M. A Supine body position as a risk factor for nosocomial pneumonia in mechanically ventilated patients: a randomized trial. *Lancet* 1999;354:1851-58.





Can't make it to 30 degrees?

- Situations when HOB up 30 degrees may not be possible
 - Low BP/unstable VS
 - Agitated and at risk of falling out of bed
 - Compromised circulation due to femoral lines
 - Spinal clearance/Spinal cord injury patients

 MUST have a physician's order identifying the degree of elevation allowed
- Use combination of HOB up and reverse Trendelenburg to obtain a 30 degree angle



Stress Ulcer Prophylaxis

- Theory has it that modifying stomach acid effects the bacterial colonization level
- HICPAC:
 - No recommendation can be made for the preferential use of sucralfate, H2-antagonists, and/or antacids for stress-bleeding prophylaxis in patients receiving mechanically assisted ventilation. (Unresolved Issue)
 - Livingston DH. Prevention of ventilator-associated pneumonia. Am J Surg 2000;179(suppl 2A):12S-17S:
 "after all of this time and study, it is likely that neither drug has any advantage in significantly maintaining gastric flora and reducing VAP."

Selective Digestive Decontamination

- Preventive decolonization on the theory that the gut is a major source of VAP
 - HICPAC:
 No recommendation can be made for the routine selective decontamination of the digestive tract (SDD) of all critically-ill, mechanically ventilated, or ICU patients. (Unresolved issue)
- 30+ studies to date
 - Eggimann P, Pittet D. Infection control in the ICU. Chest 2001;120:2059-2093:
 "...this selective pressure on the epidemiology of resistance definitely precludes the systematic use of SDD for critically ill patients"
 - Kollef MH. Selective digestive decontamination should not be routinely employed. Chest 2003;123:464S-8S.

Weaning

- Duration, duration, duration!!!
- Cook D, Meade M, Guyatt G, Griffith L, Booker L. Criteria for Weaning from Mechanical Ventilation. Evidence Report/Technology Assessment No. 23 (Prepared by McMaster University under Contract No. 290-97-0017). AHRQ Publication No. 01-E010. Rockville MD: Agency for Health Care Research and Quality. November 2002.
- Evidence-Based Guidelines for Weaning and Discontinuing Ventilatory Support. A Collective Task Force comprised of members of the American College of Chest Physicians, the American Association for Respiratory Care and the American College of Critical care Medicine. *Chest* 2001;120:3755-3955.

Cost of Mechanical Ventilation

- Retrospective, cohort study designed to examine costs associated with mechanical ventilation
- Data from 253 hospitals, 51,009 pts.
- Mean cost with vent = \$31,574
- Mean cost without vent = \$12,931
- Incremental cost of mech. vent per day = \$1,5522

Dasta JF, et al. Daily cost of an intensive care unit day: the contribution of mechanical ventilation. Crit Care Med 2005;33:1266-71.

Active Weaning Protocols

- Effect of a protocol-driven vent weaning on outcomes
- Results:
 - Vent use: from 0.47 to 0.33 (VD/ICU days)
 - VAP: 17 in 2000 to 5 in 2002

Dries DJ, et al. Protocol-driven ventilator weaning reduces use of mechanical ventilation, rate of early reintubation, and VAP. J Trauma 2004;56:943-51.

Multidisciplinary Approaches

- Study conducted in 3 adult ICUs (med surg, neuro, cardiac) in a Level II trauma facility
- Ventilator bundle:
 - Comprehensive oral care protocol
 - HOBDaily sedation holiday
 - Daily security initially
 Daily assessment of readiness to extubate
- Results:
 - 21/1523 vent days in Q2
 - 3/1734 vent days in Q4 (26% reduction)

Shaikh, et al. A multidisciplinary approach towards the reduction of ventilator-associated pneumonia. [abstract] APIC Education Conference, Baltimore, MD, June 2005

(cont'd)

- Study conducted in a Medical ICU and Surgical ICU
- Interventions:
 - Elevated HOB
 - Sterile water and replacement of stopcocks with enteral valves for NG tubes
 - Prolongation of changing closed suction catheters from 24 hours to as needed
- Results:
 - MICU: decrease by 10.8/1000 VD
 - SICU: decrease by 17.2/1000 VD
 - Net cost savings: \$349,899

Lai KK, et al. Impact of a program of intensive surveillance and interventions targeting ventilated patients in the reduction of ventilator-associated pneumonia and its cost-effectiveness. Infect Control Hosp Epidemiol 2003;24:859-63. Is there scientific evidence that links oropharyngeal and dental colonization with respiratory illness?

Please refer to: Garcia R. A review of the possible role of oral and dental colonization on the occurrence of health care-associated pneumonia: Underappreciated risk and a call for interventions. Am J Infect Control 2005;33:527-41.

Prevention or Modulation of Oropharyngeal Colonization

- HICPAC:
 - Oropharyngeal cleaning and decontamination with an antiseptic agent: develop and implement a comprehensive oral-hygiene program (that might include the use of an antiseptic agent) for patients in acute-care settings or residents in long-term-care facilities who are at high risk for health-care-associated pneumonia. Cat. II
- Schleder B, Stott K, Lloyd RC. The effect of a comprehensive oral care protocol on patients at risk for ventilator-associated pneumonia. J Advocate Health 2002;4:27-30.
- Yoneyama T, Yoshida M, Ohrui T, et al. Oral care reduces pneumonia in older patients in nursing homes. J Am Geriatr Soc 2002;50:430-3.

1. Oral Cavity vs. Gastric Colonization

- Prospective study of 86 mechanically vented ICU patients to assess relationship between oropharyngeal colonization and subsequent occurrence of pneumonia
- Patients oral and gastric specimens were collected on admission and twice weekly
- When pneumonia suspected, bronchoscopic specimens were taken with protected specimen brush
 - In 31 cases of pneumonia identified, DNA genomic analysis demonstrated that oropharyngeal colonization was the predominant factor in the development of pneumonia compared with gastric colonization

Garrouste-Orgeas M, et al. Oropharyngeal or gastric colonization and nosocomial pneumonia in aduli intensive care unit patients. A prospective study based on genomic DNA analysis. *Am J Respir Crit Care Med* 1997;156:164

Acquired bacterial colonization: Location of the microorganisms in the 44 carrier patients

Total	22	5	17	
Enterococcus sp.	2	1	1	4
S. aureus	17	0	3	20
Psuedomonadaceae	8	2	1	11
Enterobacteriaceae	9	5	8	22
K. Pneumoniae	12	0	3	15
A. baumanii	7	0	1	8
Colonizing microorganisms	Patients with OC	Patients with GC	Patients with BC	Colonized patients

Garrouste-Orgear M, et al. Am J Resp Crit Care Med 1997.

Oropharyngeal rather than gastric colonization: further support

- Kerver AJ, et al. Colonization and infection in surgical intensive care patients – a prospective study. Intensive Care med 1987;13:347-51.
- Bonten MJM, et al. Risk factors for pneumonia, and colonization of respiratory tract and stomach in mechanically ventilated ICU patients. Am J Resp Crit Care Med 1996;154:1339-46.
- Ewig S, et al. Bacterial colonization patterns in mechanically ventilated patients with traumatic head injury. Am J Resp Crit Care Med 1999;158:188-98.

2. Decontamination of the Oropharynx

- Prospective, randomized, double-blind study of ICU patients to determine VAP while manipulating oropharyngeal colonization and without influencing gastric or intestinal colonization
- 87 given topical antibiotics (study group), 139 given placebo (control group)
- Results:
 - VAP in study group: 10%
 - VAP in control group: 27%

Bergmans D, et al. Prevention of ventilator-associated pneumonia by oral decontamination. Am J Resp Crit Care Med 2001;164:382-88.

Additional Studies and Reviews using Antibiotic Pastes or Solutions

- Rodriguez-Roldan JM, et al. Prevention of nosocomial lung infection in ventilated patients: use of an antimicrobial nonabsorbable paste. Crit Care Med 1990;18:1239-42.
- Pugin J, et al. Oropharyngeal decontamination decreases incidence of ventilator-associated pneumonia: a randomized, placebo-controlled, double-blind clinical trial. J Am Med Assoc 1991;265:2704-10.
- Bonten MJ, et al. Role of colonization of the upper intestinal tract in the pathogenesis of ventilatorassociated pneumonia. Clin Infect Dis 1997;24:309-19.

3. Oral Decolonization: Use of Chlorhexidine

- Prospective, randomized, double-blind, placebocontrolled trial testing the effectiveness of oral decontamination on nosocomial infection
- 353 pts undergoing coronary bypass surgery
- Used chlorhexidine gluconate (0.12%) as oral rinse to prevent nosocomial infections
- Randomized to receive CHG or placebo
- Results:
 - Overall reduction in nosocomial infections of 65% when using CHG
 - Respiratory infections were reduced 69% in CHG group

DeRiso AJ II, Ladovski JS, Dillon TA, Justice JW, Peterson AC. Chlorhexidine gluconate 0.12% oral rinse reduces the incidence of total nosocomial respiratory infection and non-prophylactic systemic antibiotic use in patients undergoing heart surgery. *Chest* 1996;109:1556-61.

4. Link Between Oral Pathogens & Respiratory Infection

- A review article
- 6 articles cited as support for a relationship between poor oral health and respiratory infection
- Bacteria from colonized dental plaque may be aspirated into the lower airway



Scannapieco, FA. Role of oral bacteria in respiratory infection. J Periodontol 1999;70:794-802

- 5. Dental Plaque as a Bacterial Source of VAP
- Study on dental plaque colonization and ICU nosocomial infs.
- 57 patients studied
- Results:
 - Dental plaque occurred in 40% of pts.
 - Colonization of dental plaque was highly predictive of nosocomial infection
 - Salivary, dental, and tracheal aspirates cultures were closely linked

Fourrier E, et al. Colonization of dental plaque: a source of nosocomial infections in intensive care patients. Crit Care Med 1998;26:301-8.

Additional Evidence Linking Colonized Dental Plaque and Respiratory Infection

- Scannapieco FA, et al. Colonization of dental plaque by respiratory pathogens in medical intensive care patients. Crit Care Med 1992;20:740-45.
- Fitch JA, et al. Oral care in the adult intensive care unit. Am J Crit Care 1999;8:314-18.
- Sumi Y, et al. Colonization of denture plaque by respiratory pathogens in dependant elderly. Gerontolog 2002;9:25-9.
- Russel SL, et al. Respiratory pathogen colonization of the dental plaque of institutionalized elders. Spec Care Dentist 1999;19:128-34.













Prioritization & Action

- Comparison of VAP rates with NNIS data indicated MICU rate above 50th percentile (6.0 cases per 1000 VD)
- Interventions taken prior to 2002 did not have sufficient effect to reduce rate below the benchmark
- ICP conducting VAP surveillance
- Interventional Epidemiology methodology applied: interviews and observations

VAP Reduction Task Force

- Director of Nursing, Critical Care
- Nurse Manager, Critical Care
- Front line nurses
- Medical Director, Critical Care
- Emergency Room physicians
- Respiratory Therapy
- Materials Management
- Infection Control

Assessment

- Interviews of front line workers
- Observation of procedures
- Review of products
- Review of policies
- Review of literature, guidelines



Identification of Needs

- A uniform education program for nurses and respiratory therapists
- Standards for oral assessment
- Standards for oral care
- Standards for dental care
- Standardization of oral care solutions
- Keeping a closed system CLOSED
- Reduce environmental exposure

Key Strategy #1: Education

- Handout created, includes answers to the following questions:
 Why is prevention of VAP important?
 - What is hospital's (unit's) current rate?
 - How do you compare with national benchmark?
 - What are major interventions implemented to date?
 - What role does bacterial colonization play in the development of respiratory infection?
 What new products/techniques will be
 - What new products/techniques will be implemented to address oral bacterial colonization?

Tip: Applicable HICPAC Recommendation

- I. Staff Education and Involvement in Infection Prevention
 - Educate health-care workers about the epidemiology of, and infection-control procedures for, preventing health-care associated bacterial pneumonia to ensure worker competency according to the worker's level of responsibility in the health-care setting, and involve the workers in the implementation of interventions to prevent health-care—associated pneumonia by using performance improvement tools and techniques. **Cat IA**







Yankauer

- Proper storage
- Keep Yankauer covered when not in use
- Assists in decreasing the risk of environmental contamination



 Replace every day and PRN













VAP Rates, MICU, BUMC

Jan 2001- 859 44 5262 8.3	Rate % Pts (VAP/ with VAP 1000 VD)	Vent days	# VAP cases	# Pts	Period
	8.3 5.1	5262	44	859	
Jan 2003- Dec 2004 755 20 5147 3.8	3.8 2.6	5147	20	755	



[10 x \$40,000 (infection cost)] - [\$56,606 (product cost)] = \$<u>343,394</u>.

Study, year	Cost per VAP case	X 12 (avoided cases per yr.)	- Product cost per yr.	Cost avoided/yr
Warren, 2003	\$11,897	\$142,764	-\$56,606	\$86,158
Rello, 2003	\$41,294	\$495,528	-\$56,606	\$438,922
Cocanour, 2005	\$57,158	\$685,896	-\$56,606	\$629,290

Let's Summarize Interventions

- Perform proper cleaning and maintenance of respiratory care equipment
- If HMEs are used, replace vent circuits as needed
- Elevate HOB when not contraindicated
- Perform comprehensive oropharyngeal care
- Establish active weaning protocols

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Do you have a topic idea for our 2006 teleclass series? We are open to all suggestions and there's still time to get them in the 2006 schedule (barely)

> Contact Paul Webber paul@webbertraining.com